2800

7812

PATENT

In re application of

Shin-itsu TAKEHASHI et al.

Serial No.: 10/088,264

Filed: March 18, 2002

For: THIN FILM TRANSISTOR AND METHOD FOR FABRICATING THE SAME

REQUEST FOR CORRECTED FILING RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
Office of Initial Patent Examination
Customer Service
Washington, D. C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Roger W. Parkhurst

Registration No. 25,177

Robert N. Wieland

Registration No. 40,225

Date: <u>June 12, 2002</u>

Attorney Docket No. OGOH:108
PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

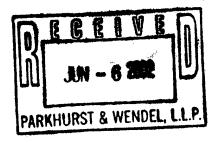


United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** IND CLAIMS **TOT CLAIMS** 10/088,264 03/18/2002 **OGOH:108** 2812 2444 25 17

Parkhurst & Wendel Suite 210 1421 Prince Street Alexandria, VA 22314-2805



CONFIRMATION NO. 5130

FILING RECEIPT OC000000008209230*

Date Mailed: 05/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shin-Itsu Takehashi, Osaka, JAPAN: Tetsuo Kawakita, Kyoto, JAPAN; Yoshinao Taketomi, Kyoto, JAPAN; Hiroshi Tsutsu, Osaka, JAPAN;

D mestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/06261 09/13/2000

F reign Applications

JAPAN H11-262119 09/16/1999 JAPAN H11-278687 09/30/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Thin-film transistor and method for producing the same

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

APPLICATION DATA SHEET

Application Information

Application number::

Filing Date:: 03/18/02

Application Type:: Regular

Subject Matter:: Utility

Suggested Classification::

Suggested Group Art Unit::

CD-ROM or CD-R?:: None

Number of CD disks:: None

Number of copies of CDs:: None

Sequence submission::

Computer Readable Form (CRF):: No

Number of copies of CRF:: None

Title:: THIN FILM TRANSISTOR AND

METHOD FOR FABRICATING THE

SAME

Attorney Docket Number:: OGOH:108

Request for Early Publication:: No

Request for Non-Publication:: No

Suggested Drawing Figure:: 1

Total Drawing Sheets:: 25

Small Entity:: No

Latin Name::

Variety denomination name::

Petition included:: No

Petition Type:: None

Licensed US Govt. Agency:: None

Contract or Grant Numbers:: None

Secrecy Order in Parent Appln. ?:: No



Commissioner for Patents Washington, DC 2023 www.uspto.go

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/088,264	03/18/2002	2823	2444	OGOH:108	25	41	17

Parkhurst & Wendel Suite 210 1421 Prince Street Alexandria, VA 22314-2805 CONFIRMATION NO. 5130 CORRECTED FILING RECEIPT *OC000000009645303*

Date Mailed: 03/13/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shin-Itsu Takehashi, Osaka, JAPAN; Tetsuo Kawakita, Kyoto, JAPAN; Yoshinao Taketomi, Kyoto, JAPAN; Hiroshi Tsutsu, Osaka, JAPAN;

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP00/06261 09/13/2000

Foreign Applications

JAPAN H11-262119 09/16/1999 JAPAN H11-278687 09/30/1999

If Required, Foreign Filing License Granted: 03/13/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Thin-film transistor and method for fabricating the same

Pr liminary Class

438

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE VASHINOTON, D.C. 20231 www.uspto.gov

GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO

DRAWINGS **TOT CLAIMS** IND CLAIMS

APPLICATION NUMBER 10/088.264

FILING DATE 03/18/2002

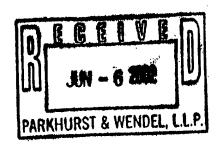
2812

2444

OGOH:108

25

Parkhurst & Wendel Suite 210 1421 Prince Street Alexandria, VA 22314-2805



CONFIRMATION NO. 5130 FILING RECEIPT OC000000008209230*

Date Mailed: 05/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply t the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shin-Itsu Takehashi, Osaka, JAPAN: Tetsuo Kawakita, Kyoto, JAPAN: Yoshinao Taketomi, Kyoto, JAPAN: Hiroshi Tsutsu, Osaka, JAPAN:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/06261 09/13/2000

F reign Applications

JAPAN H11-262119 09/16/1999 JAPAN H11-278687 09/30/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Thin-film transistor and method for producing the same